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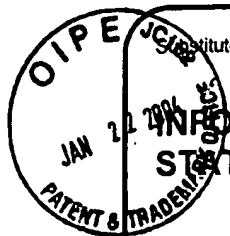


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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

Complete if Known

Application Number	09/760,196
Filing Date	January 12, 2001
First Named Inventor	Vindekilde
Group Art Unit	2161
Examiner Name	Not Yet Assigned
Attorney Docket Number	3524/12

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		3,573,747		Adams et al.	4/6/71	
		3,581,072		Nymeyer	5/25/71	
		4,412,287		Braddock, III	10/25/83	
		4,674,044		Kalmus et al.	6/16/87	
		4,677,552		Sibley, Jr.	6/30/87	
		4,789,928		Fujisaki	12/6/88	
		4,903,201		Wagner	2/20/90	
		4,980,826		Wagner	12/25/90	
		5,038,284		Kramer	8/6/91	
		5,077,665		Silverman et al.	12/31/91	
		5,101,353		Lupien et al.	3/31/92	
		5,136,501		Silverman et al.	8/4/92	
		5,243,515		Lee	9/7/93	
		5,297,031		Gutterman et al.	3/22/94	
		5,297,032		Trojan et al.	3/22/94	
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		5,689,652		Lupien et al.	11/18/97	
		5,724,524		Hunt et al.	3/3/98	
		5,727,165		Ordish et al.	3/10/98	
		5,758,328		Giovannoli	5/26/98	
		5,774,880		Ginsberg	6/30/98	
		5,787,402		Potter et al.	7/28/98	
		5,809,483		Broka et al.	9/15/98	

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Sheet 2 of 3

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		Number	Kind Code ² (if known)			
M		5,835,896		Fisher et al.	11/10/98	
		5,845,266		Lupien et al.	12/1/98	
		5,864,827		Wilson	1/26/99	
		5,873,071		Ferstenberg et al.	2/16/99	
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		6,029,146		Hawkins et al.	2/22/00	
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		6,317,727	B1	May	11/13/01	
		6,377,940	B2	Tilfors et al.	4/23/02	
		6,421,653	B1	May	7/16/02	
		6,519,574	B1	Wilton et al.	2/11/03	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

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Application Number 09/760,196
Filing Date January 12, 2001
First Named Inventor Vindekilde
Group Art Unit 2161
Examiner Name Not Yet Assigned
Attorney Docket Number 3524/12

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FOREIGN PATENT DOCUMENTS

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		Office	Number	Kind Code ⁵ (if known)				
A		GB	2258061A	A	Norm Pacific Automation	1/27/93		
		PCT	WO00/55775		Buyfx.com	9/21/00		
		PCT	WO01/16830	A1	Bloomberg LP	3/8/01		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁷
A		"Buyside Bids Wanted," Bloomberg Financial Markets Commodities News, Bloomberg LP, 1998, 11 pgs.	
		"What Corporate Traders Could Learn from Munis," Bloomberg, September 16, 1993, pp. 16-17.	
		"eCommerce in the U.S. Fixed Income Markets," The 1999 Review of Electronic Transaction Systems, The Bond Market Association, November 1999, pgs. 1-35.	
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		Murphy, Brian, "Government Bond Trading Made Easy," Bloomberg, June 1999, pgs. 86-88.	
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		"And now - electronic bidding," Grant's Municipal Bond Issuer, Vol. 2, No. 14, July 16, 1998, pgs. 1-4.	
		"Innovative Trading in the Nasdaq Marketplace," User Manual, Bloomberg Tradebook Europe Ltd., June 1999.	
		"Innovative Trading in the Nasdaq Marketplace," User Manual, Bloomberg Tradebook Europe Ltd., March 2000.	
		"Real Bids. Institutional Investors Begin Using Electronic Bond Trading," The Bank of New York Company, Inc. April 8, 1998, 1 pg.	
		"Real Bids. The Bank of New York to Acquire Automated Bond Trading System," The Bank of New York Company, Inc. April 19, 1997.	
		Picot, Arnold et al., "The Automation of Capital Markets", Institute of Organization, Munich, Germany, Circa 1996, 20 pgs.	
		"TradeWeb Launches First Multi-Dealer Electronic Trading System for Fixed-Income Securities," EDI Update International, The Global Review of Electronic Trade, October 1997, Volume IX, No. 11, 1 pg.	

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